SEC Form 4
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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number: 3235-0287									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STATEMEN Filed	I <b>T O</b> pursua or Se

# F CHANGES IN BENEFICIAL OWNERSHIP

ant to Section 16(a) of the Securities Exchange Act of 1934 ection 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* LaVoy Kathleen Mackie			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Great Lakes Dredge &amp; Dock CORP</u> [ GLDD ]		tionship of Reporting Person(s) to Issuer (all applicable) Director 10% Owner Officer (give title Other (speci		
(Last) (First) (Middle) C/O GREAT LAKES DREDGE & DOCK CORP 2122 YORK ROAD (Street) OAK BROOK IL 60523 (City) (State) (Zip)		· · · ·	3. Date of Earliest Transaction (Month/Day/Year) 03/08/2020		below) SVP & Chief Lega	below) l Officer	
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	orting Person	

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	· 2										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Date Execution Date,		action Instr.				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	03/08/2020		A		29,184	A	(1)	116,920	D		
Common Stock	03/08/2020		A		6,485	A	(2)	123,405	D		
Common Stock	03/08/2020		F		10,692	D	\$8.99	113,506 <sup>(3)</sup>	D		

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

## **Explanation of Responses:**

1. Represents restricted stock units awarded pursuant to satisfaction of performance conditions per the terms of the Special Incentive Program granted on March 8, 2018. These units vest on December 31, 2020.

2. Represents shares awarded pursuant to satisfaction of performance conditions per the terms of Ms. LaVoy's performance-based restricted stock units granted on March 8, 2018.

3. This filing also adds 793 shares of Common Stock acquired under the Company's Employee Stock Purchase Program.

### Remarks:

#### 03/10/2020 /s/Kathleen M. LaVoy

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.